ASA-1016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

M. NAKAGAWA et al

Serial No.

Filed: August 2, 2001

For: DATA PROCESSING SYSTEM

UNDER § 1.97 AND § 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

1. This IDS should be considered:

- (a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;
- (b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a check in the amount of \$180.00 is enclosed, or if not see section 5 below);
- (c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a check in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).
- 2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

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- 3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of the listed document is enclosed.
- 4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.
- 5. No explanation of relevancy is being provided for the documents listed in the attached PTO-1449 Form, because each is either in the English language, discussed in the present Specification, or its relevance is as stated in a communication from a foreign patent office in a counterpart foreign application, copy enclosed.
- 6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,

John R. Mattingly Registration No. 30,293

Attorney for Applacants

MATTINGLY, STANGER & MALUR 1800 Diagonal Road, Suite 370 Alexandria, Virginia 22314 (703) 684-1120

Date: August 2, 2001

Sheet $\underline{1}$ of $\underline{1}$

FORM PTO-1449 (REV. 7-80)				U.S. DEPART PATENT AND	MENT OF COMMERCE TRADEMARK OFFICE	ASA-1016					
LIST OF DOCUMENTS CITED BY APPLICANT						APPLICANT M. NAKAGAWA et al					
(Use several sheets if necessary)						FILING DATE GROUP					
						August 2, 2001					
U.S. PATENT DOCUMENTS											
* EXAMINER INITIAL		DOCUMENT		DATE		NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)		
	AA										
	АВ								•		
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FOREIGN PATENT DOCUMENTS OUR DESCRIPTION TRANSLATION											
		DOCUMENT		DATE	-	OUNTRY	CLASS	SUBCLASS	YES	NO	
	AL	8-248986		9/27/96	Japan						
	AW	8-248985		9/27/96	Japan						
		9-6382 5-333898		1/10/97	Japan Japan						
	AO	3-333696		12/11/93	Japan						
	AP CTUED DOCUMENTS (Including Author Title Date Particent Pages etc.)										
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)											
	AR		ICASSP 95, pp. 213-216.								
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EXAMINER	<u> </u>	L	1		D	ATE CONSIDERED					
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.											